

Annual Reporting for High-Cost Recipients  
47 C.F.R. §54.313(a)(2) through (a)(6) and (h)

DOCKET FILE COPY ORIGINAL



4001 Rodney Parham Drive • Little Rock, Arkansas 72212  
(501) 748-7000

Received & Inspected

OCT 24 2013

FCC Mail Room

**Jeff Heacox**  
Staff Manager Compliance Reporting  
Jeff.l.heacox@windstream.com  
(501) 748-5390  
(501) 748-6583 (fax)

REDACTED FOR PUBLIC INSPECTION

October 11, 2012

Ms. Marlene H. Dortch  
Office of the Secretary  
Federal Communications Commission  
445 12th Street SW  
Washington, D.C. 20554

Ms. Karen Majcher  
Vice President – High Cost Low Income Division  
Universal Service Administrative Company  
2000 L Street NW, Suite 200  
Washington, D.C. 20036

RE: WC Docket No. 10-90: Lifeline and Link Up Reform and Modernization, WC Docket No. 11-42

Pursuant to Section 54.313 and 54.422 of the Federal Communications Commission's rules and in accordance with the guidance of the Public Notice issued August 6, 2013, enclosed is the 2013 annual report and certifications for Windstream Study Area Code 442147 located in Texas.

This filing contains a redacted (200) Service Outage Reporting (Voice) form. The information that was redacted is considered Confidential by the FCC and would cause Windstream to reveal proprietary information and trade secrets and cause damage to its competitive position.

Should you have any questions, please contact me via email at [jeff.l.heacox@windstream.com](mailto:jeff.l.heacox@windstream.com) or by phone at 501-748-5390.

Sincerely,

A handwritten signature in black ink, appearing to read "Jeff Heacox", written over a horizontal line.

Jeff Heacox  
Staff Manager Compliance Reporting

Enclosures

Cc: Applicable State Public Utilities Commissions, State Public Service Commissions, and Tribal Governments

No. of Copies rec'd 0  
List ABCDE

<b>FCC Form 481 - Carrier Annual Reporting</b> Data Collection Form	FCC Form 481 DMS Control No. 3060-0986 / OMB Control No. 3060-0819 July 2013
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<010> Study Area Code <span style="float: right;">442147</span>	<div style="font-size: 1.2em; font-weight: bold;">Received &amp; Inspected</div> <div style="font-size: 1.5em; font-weight: bold; margin-top: 20px;">OCT 24 2013</div> <div style="font-size: 1.2em; font-weight: bold; margin-top: 20px;">FCC Mail Room</div>
<015> Study Area Name <span style="float: right;">WINDSTREAM SUGARLAND</span>	
<020> Program Year <span style="float: right;">2014</span>	
<030> Contact Name: Person USAC should contact with questions about this data <span style="float: right;">Jeff Heacock</span>	
<035> Contact Telephone Number: Number of the person identified in data line <030> <span style="float: right;">501-746-5390</span>	
<039> Contact Email Address: Email of the person identified in data line <030> <span style="float: right;">jeff.l.heacock@windstream.com</span>	

ANNUAL REPORTING FOR ALL CARRIERS		54.313 Completion Required	54.422 Completion Required
<100> Service Quality Improvement Reporting	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice)	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input type="checkbox"/> <-- check box if no outages to report			
<300> Unfulfilled Service Requests (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<320> Unfulfilled Service Requests (broadband)	(attach descriptive document)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<330> Detail on Attempts (broadband)	(attach descriptive document)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<400> Number of Complaints per 1,000 customers (voice)		<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed	1.5		
<420> Mobile			
<430> Number of Complaints per 1,000 customers (broadband)		<input type="checkbox"/>	<input checked="" type="checkbox"/>
<440> Fixed			
<450> Mobile			
<500> Service Quality Standards & Consumer Protection Rules Compliance	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> 442147TX510	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> 442147TX610	(attach descriptive document)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice)	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<710> Company Price Offerings (broadband)	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<800> Operating Companies and Affiliates	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)? <input type="radio"/> <input checked="" type="radio"/>	(if yes, complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability	(check to indicate certification)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<1010> <input type="checkbox"/>	(attach descriptive document)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<1100> Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/>	(if not, check to indicate certification)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<1110>	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**  
 Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000>	(check to indicate certification)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<2005>	(complete attached worksheet)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

**Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet**

<3000>	(check to indicate certification)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
<3005>	(complete attached worksheet)	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**(100) Service Quality Improvement Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com
<110>	Has your company received its ETC certification from the FCC?	(yes / no) <input type="radio"/> <input checked="" type="radio"/>
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no) <input type="radio"/> <input type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

- <112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets  
 <114> Report how much universal service (USF) support was received  
 <115> How (USF) was used to improve service quality  
 <116> How (USF) was used to improve service coverage  
 <117> How (USF) was used to improve service capacity  
 <118> Provide an explanation of network improvement targets not met in the prior calendar year.

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

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<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

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FCC Form 481  
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July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

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<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.1.heacox@windstream.com

<810>	Reporting Carrier	Windstream Sugar Land, Inc.
<811>	Holding Company	Windstream Corporation
<812>	Operating Company	Windstream Sugar Land, Inc.

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**(900) Tribal Lands Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

&lt;910&gt; Tribal Land(s) on which ETC Serves

&lt;920&gt; Tribal Government Engagement Obligation

Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select (Yes, No, NA)

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**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

Please check this box to confirm no terrestrial backhaul  
<1120> options exist within the supported area pursuant to § 54.313(G) ☐

Please check this box to confirm the reporting carrier offers  
<1130> broadband service of at least 1 Mbps downstream and 256 kbps  
upstream within the supported area pursuant to § 54.313(G) ☐

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**(1200) Terms and Condition for Lifeline Customers**  
**Lifeline**  
**Data Collection Form**

FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

<1210> Terms & Conditions of Voice Telephony Lifeline Plans 442147TX1210  
 Name of attached document (.pdf)

<1220> Link to Public Website HTTP http://www.windstream.com/About-Us/Lifeline-Applications/

"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers, ☒
- <1222> Details on the number of minutes provided as part of the plan, ☒
- <1223> Additional charges for toll calls, and rates for each such plan. ☒

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**(2000) Price Cap Carrier Additional Documentation****Data Collection Form****Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers**

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	442147
<015>	Study Area Name	WINDSTREAM SUGARLAND
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com

CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.

<b>Incremental Connect America Phase I reporting</b>		
<2010>	2nd Year Certification (47 CFR § 54.313(b)(1))	<input type="checkbox"/>
<2011>	3rd Year Certification (47 CFR § 54.313(b)(2))	<input type="checkbox"/>
<b>Price Cap Carrier Receiving Frozen Support Certification (47 CFR § 54.312(a))</b>		
<2012>	2013 Frozen Support Certification	<input checked="" type="checkbox"/>
<2013>	2014 Frozen Support Certification	<input type="checkbox"/>
<2014>	2015 Frozen Support Certification	<input type="checkbox"/>
<2015>	2016 and future Frozen Support Certification	<input type="checkbox"/>
<b>Price Cap Carrier Connect America ICC Support (47 CFR § 54.313(d))</b>		
<2016>	Certification Support Used to Build Broadband	<input checked="" type="checkbox"/>
<b>Connect America Phase II Reporting (47 CFR § 54.313(e))</b>		
<2017>	3rd year Broadband Service Certification	<input type="checkbox"/>
<2018>	5th year Broadband Service Certification	<input type="checkbox"/>
<2019>	Interim Progress Certification	<input type="checkbox"/>
<2020>	Please check the box to confirm that the attached PDF, on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.	<input type="checkbox"/>
<2021>	Interim Progress Community Anchor Institutions	
	Name of Attached Document Listing Required Information	

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<b>(3000) Rate Of Return Carrier Additional Documentation</b>		FCC Form 481
<b>Data Collection Form</b>		OMB Control No. 3060-0846/OMB Control No. 3060-0819
		July 2013

<010> Study Area Code	442147
<015> Study Area Name	WINDSTREAM SUGARLAND
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	Jeff Heacock
<035> Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039> Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacock@windstream.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

#### Progress Report on 5 Year Plan

(3010) Milestone Certification (47 CFR § 54.313(f)(1)(i)) Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.	Name of Attached Document Listing Required Information	<input type="checkbox"/>
(3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))	Name of Attached Document Listing Required Information	<input type="checkbox"/> (Yes/No)
(3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))		<input type="checkbox"/> (Yes/No)
(3014) If yes, does your company file the RUS annual report Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:		<input type="checkbox"/>
(3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)		<input type="checkbox"/>
(3016) PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
(3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation	Name of Attached Document Listing Required Information	<input type="checkbox"/> (Yes/No)
(3018) If the response is no on line 3014, is your company audited? If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:		<input type="checkbox"/>
(3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications		<input type="checkbox"/>
(3020) PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
(3021) Management letter issued by the independent certified public accountant that performed the company's financial audit. If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:		<input type="checkbox"/>
(3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,		<input type="checkbox"/>
(3023) Underlying information subjected to a review by an independent certified public accountant		<input type="checkbox"/>
(3024) Underlying information subjected to an officer certification.		<input type="checkbox"/>
(3025) PDF of Balance Sheet, Income Statement and Statement of Cash Flows		<input type="checkbox"/>
(3026) Attach the worksheet listing required information	Name of Attached Document Listing Required Information	<input type="checkbox"/>

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Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	553258
<015> Study Area Name	XJ CETSFBNI TV-BSMBOE
<020> Program Year	3125
<030> Contact Name - Person USA should contact regarding this data	Kf gg/ l f bdpv
<035> Contact Telephone Number - Number of person identified in data line <030>	612. 859. 64. 1
<039> Contact Email Address - Email Address of person identified in data line <030>	kf gg/ ml f bdpv Axj oet usf bn/ dpn

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	XJ CETSFBNI TV-BSMBOE
Signature of Authorized Officer:	DFSUJGIFEI POMUOF Date 2102203124
Printed name of Authorized Officer:	Uj nt Mpl fo
Title or position of Authorized Officer:	Ej sf dupsl Sf hvntupaz! Sf qpsuj oh
Telephone number of Authorized Officer:	612. 859. 8553
Study Area Code of Reporting Carrier:	553258 Filing Due Date for this form: 2102603124
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

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Certification - Agent / Carrier Data Collection Form	FCC Form 481 OMB Control No. 3080-0686/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	553258
<015> Study Area Name	XJ C E T U S F B N I T V B S M B C E
<020> Program Year	3125
<030> Contact Name - Person USAC should contact regarding this data	Kf gg l i f b d p y
<035> Contact Telephone Number - Number of person identified in data line <030>	612.859.84:1
<039> Contact Email Address - Email Address of person identified in data line <030>	kf gg/ m l i f b d p y A x j o e t u s f b n i d p n

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

<b>Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier</b>	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent: _____	
Name of Reporting Carrier: _____	
Signature of Authorized Officer: _____	Date: _____
Printed name of Authorized Officer: _____	
Title or position of Authorized Officer: _____	
Telephone number of Authorized Officer: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

<b>Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier</b>	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier: _____	
Name of Authorized Agent or Employee of Agent: _____	
Signature of Authorized Agent or Employee of Agent: _____	Date: _____
Printed name of Authorized Agent or Employee of Agent: _____	
Title or position of Authorized Agent or Employee of Agent: _____	
Telephone number of Authorized Agent or Employee of Agent: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

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**210208124**

(200) Service Outage Reporting (Voice) Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

[illegible]

<015>	Study Area Name	WINDSTREAM SUGARLAND
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<020> Program Year		2014
--------------------	--	------

<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
-------	---	-------------

<035> Contact Telephone Number - Number of person identified in data line <030> 501-748-5390

<039> Contact Email Address - Email Address of person identified in data line <030> jeff.l.heacox@windstream.com

<220>

<a>	<b1>	<b2>	<b3>	<b4>	<r1>	<r2>	<d>	<e>	<f>	<g>	<h>
-----	------	------	------	------	------	------	-----	-----	-----	-----	-----

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Line 310 - Unfulfilled Voice Telephony Service Requests Resolution

Study Area Code: 442147  
 Study Area Name: Windstream Sugar Land, Inc.  
 Year: 2012

Date the Request was Held	Name of Exchange	How service was attempted/Reason it was Unfulfilled ( If fulfilled, the date it was fulfilled)
12/10/2012	Sugarland	Unfulfilled due to lack of cable facilities. Waiting on OSP Engineer to review. Completed:2/21/2013
12/10/2012	Garrison	Unfulfilled due to lack of cable facilities. Waiting on OSP Engineer to review. Completed:2/11/2013
12/28/2012	Sugarland	Unfulfilled due to lack of cable facilities. Waiting on OSP Engineer to review. Completed:1/31/2013

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Line 510- Description of Compliance with Service Quality Standards and Consumer Protection:

The Windstream ILEC companies certify that they comply with applicable state and FCC service quality standards.

1. Service quality metrics are monitored and reviewed each month
2. Windstream is founded on integrity. All employees are required to complete a course on integrity each year.
3. Windstream employees have at their disposal our People Practices Overview Course which is a general overview of the guidelines that govern all Windstream employees.
4. Windstream's CPNI training manual documents when personnel are, and are not, authorized to use CPNI. This Manual constitutes Windstream's policies and procedures related to CPNI. All employees are required to follow the policies and procedures specified in this manual.
5. Windstream IT has in place numerous measures to insure the integrity of the network and the customer data that resides on the network. The network is monitored 24/7 and periodic reviews of the security processes are performed.
6. Windstream makes every attempt to achieve one-call resolution on customer invoice issues.
7. Windstream has developed a program to help spot the Red Flags of identity theft, which is consistent with the FTC's guidelines, and has procedures in place to mitigate the potential damage of identity theft.
8. Windstream has implemented our Customer Account Protection Plan (CAPP) to provide increased security against unauthorized changes (cramming) to customer accounts. This plan requires third-party carriers to have a customer's passcode to change the customer's service or to access the customer's account information.

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**Line 610 – Description of Functionality in Emergency Situations**

Windstream certifies that it is compliant with applicable rules on service provision in emergency situations. Windstream central offices are designed to withstand limited commercial power failures through the use of emergency batteries supplemented by on site or portable generators. Windstream personnel perform routine maintenance on this essential equipment based on the manufacturer's service recommendations and Windstream service practices. The backup batteries are load tested routinely and the on site generators are tested monthly.

Windstream's network is engineered to handle traffic spikes that can occur as the result of emergency situations. The network is monitored 24/7 by our Network Operations Center ensuring quick response whenever and where ever it is needed. Network redundancy is built into our network where ever possible to ensure alternate routing is available when necessary.

## (800) Operating Companies

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July 2013

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<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	Jeff Heacox
<035>	Contact Telephone Number - Number of person identified in data line <030>	501-748-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com
<810>	Reporting Carrier	Windstream Sugar Land, Inc.
<811>	Holding Company	Windstream Corporation
<812>	Operating Company	Windstream Sugar Land, Inc.

<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	Georgia Windstream, LLC	223036	
	Oklahoma Windstream, LLC	432011	
	Texas Windstream, Inc.	442153	
	Valor Telcommunications of Texas, LLC	431165	DBA: Windstream Communications Southwest
	Valor Telcommunications of Texas, LLC	441163	DBA: Windstream Communications Southwest
	Valor Telcommunications of Texas, LLC	441181	DBA: Windstream Communications Southwest
	Valor Telcommunications of Texas, LLC	491164	DBA: Windstream Communications Southwest
	Valor Telcommunications of Texas, LLC	491193	DBA: Windstream Communications Southwest
	Windstream Accucomm Telecommunications, LLC	220395	
	Windstream Alabama, LLC	250302	
	Windstream Arkansas, LLC	401691	
	Windstream Buffalo Valley, Inc.	170151	
	Windstream Communications Kerrville, LLC	442097	
	Windstream Concord Telephone, Inc.	230474	
	Windstream Conestoga, Inc.	170162	
	Windstream D & E, Inc.	170165	
	Windstream Florida, Inc.	210336	
	Windstream Georgia Communications, LLC	223037	
	Windstream Georgia Telephone, LLC	220364	
	Windstream Georgia, LLC	220357	
	Windstream Iowa Communications, Inc.	351170	
	Windstream Iowa-Comm, Inc.	351167	
	Windstream Iowa-Comm, Inc.	351178	

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<813>	<a1>	<a2>	<a3>
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	Windstream Kentucky East, LLC	269690	
	Windstream Kentucky East, LLC	269691	
	Windstream Kentucky West, LLC	260402	
	Windstream Lakedale, Inc.	361414	
	Windstream Lakedale, Inc.	361482	
	Windstream Lexcom Communications, Inc.	230483	
	Windstream Mississippi, LLC	280453	
	Windstream Missouri, Inc.	421885	
	Windstream Montezuma, Inc.	351248	
	Windstream Nebraska, Inc.	371568	
	Windstream New York, Inc.	150106	
	Windstream New York, Inc.	150109	
	Windstream New York, Inc.	150113	
	Windstream Norlight, Inc.	269004	
	Windstream Norlight, Inc.	269008	
	Windstream North Carolina, LLC	230476	
	Windstream Ohio, Inc.	300665	
	Windstream Oklahoma, LLC	431965	
	Windstream Pennsylvania, LLC	170176	
	Windstream South Carolina, LLC	240517	
	Windstream Standard, LLC	220386	
	Windstream Sugar Land, Inc.	442147	
	Windstream Western Reserve, Inc.	300666	

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<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	Access One Communications Corp.		
	Allworx Corp.		
	Atlanta Data Link, LLC		
	Birmingham Data Link, LLC		
	Bishop Communications Corporation		
	Buffalo Valley Management Services, Inc.		
	Carolina Personal Communications, Inc. (dba CTC Wireless)		DBA: Windstream Wireless
	Cavalier IP TV, LLC		
	Cavalier Services, LLC		
	Cavalier Telephone Corporation		
	Cavalier Telephone Mid-Atlantic, L.L.C.		DBA: PAETEC Business Services
	Cavalier Telephone, L.L.C.		DBAs: PAETEC Business Services, Windstream Communications
	CavTel Holdings, LLC		
	Chattanooga Data Link, Inc.		
	Cincinnati Data Link, Inc.		
	Cinergy Communications Company of Virginia		
	Communications Sales & Leasing, Inc.		
	Compco, Inc.		DBA: Compco-My Soft Company
	Conestoga Enterprises, Inc.		
	Conestoga Management Services, Inc.		
	Conestoga Wireless Company		
	CT Cellular, Inc.		
	CT Communications, Inc.		

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<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	CT Wireless Cable, Inc.		
	CTC Video Services, LLC		
	D & E Communications, Inc.		
	D & E Investments, Inc.		
	D & E Networks, Inc.		
	D & E Wireless, Inc.		
	D&E Management Services, Inc.		
	Elantic Networks, Inc.		
	Equity Leasing, Inc.		
	FDN Supra, LLC		
	Gabriel Communications Finance Company		
	Heart of the Lakes Cable Systems, Inc.		
	Hosted Solutions Charlotte, LLC		
	Hosted Solutions Raleigh, LLC		
	Huntsville Data Link, LLC		
	Indianapolis Data Link, Inc.		
	Infocore, Inc.		
	Intellifiber Networks, Inc.		DBAs: Cavalier Wholesale Services, Cavalier Telephone
	Iowa Telecom Data Services, L.C.		
	Iowa Telecom Technologies, LLC		
	IWA Services, LLC		
	KDL Communications Corporation		
	KDL Holdings, LLC		

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<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	Kerrville Cellular, LLC		
	Kerrville Communications Corporation		
	Kerrville Mobile Holdings, LLC		
	Kerrville Wireless Holdings, LLC		
	Lakedale Communications, LLC		
	LDMI Telecommunications, Inc.		DBAs: Cavalier Business Communications, PAETEC Business Services, Cavalier Telephone, LDNI, LDNI Telecommunications
	Lexcom, Inc.		
	Lexington Data Link, Inc.		
	Louisville Data Link, Inc.		
	McLeodUSA Information Services LLC		
	McLeodUSA LLC		
	McLeodUSA Purchasing, L.L.C.		
	McLeodUSA Telecommunications Services, L.L.C.		DBAs: Cavalier, Cavalier Telephone, PAETEC Business Services
	Memphis Data Link, Inc.		
	MPX, Inc.		
	Nashville Data Link, Inc.		
	Network Services Group, LLC		
	Network Telephone Corporation		DBAs: PAETEC Business Services, Cavalier Business Communications, Cavalier Telephone
	NewSouth Communications of Virginia, Inc.		
	Norlight Communications, Inc.		
	Norlight Information Services, LLC		
	Norlight Telecommunications of Virginia, Inc.		
	NT Corporation		

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<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	NuVox, Inc.		
	OmniCall, Inc.		
	PaeTec Communications of Virginia, Inc.		
	PaeTec Communications, Inc.		
	PAETEC Corp.		
	PAETEC Holding Corp.		
	PAETEC iTel, L.L.C.		DBA: Starnet
	PAETEC Realty LLC		
	PaeTec Softward Corp.		
	PaeTec Software Corp Sucursal		
	PCS Licenses, Inc.		
	Progress Place Realty Holding Company, LLC		
	RevChain Solutions, LLC		
	RPK (B.V.A.) Limited Co. No. 258382		
	Shreveport Data Link, LLC		
	SM Holdings, LLC		
	Southwest Enhanced Network Services, LP		
	Talk America Holdings, Inc.		
	Talk America of Virginia, Inc.		DBA: Cavalier Telephone
	Talk America, Inc.		DBAs: Cavalier Business Communications, PAETEC Business Services, Cavalier Telephone, The Phone Company, Network Services
	TC Services Holding Co., Inc.		
	Televue, LLC		
	The Other Phone Company, Inc.		DBAs: PAETEC Business Services, Cavalier Business Communications, Cavalier Telephone

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<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	TriNet, LLC		
	US LEC Communications LLC		DBAs: PAETEC Business Services, US LEC of Rhode Island
	US LEC LLC		
	US LEC of Alabama LLC		DBA: PAETEC Business Services
	US LEC of Florida LLC		DBA: PAETEC Business Services
	US LEC of Georgia LLC		DBA: PAETEC Business Services
	US LEC of Maryland LLC		DBA: PAETEC Business Services
	US LEC of North Carolina LLC		DBA: PAETEC Business Services
	US LEC of Pennsylvania LLC		DBA: PAETEC Business Services
	US LEC of South Carolina LLC		DBA: PAETEC Business Services
	US LEC of Tennessee LLC		DBA: PAETEC Business Services
	US LEC of Virginia LLC		DBA: PAETEC Business Services
	Valor Telecommunications Enterprises Finance Corp		
	Valor Telecommunications Enterprises II, LLC		
	Valor Telecommunications Enterprises, LLC		
	Valor Telecommunications Investments, LLC		
	WaveTel NC License Corporation		
	Wavetel TN, LLC		
	Wavetel, LLC		
	Webserve, Inc.		
	Windstream Accucomm Networks, LLC		
	Windstream Baker Solutions, Inc.		
	Windstream Communications Telecom, LLC		

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<035>	Contact Telephone Number - Number of person identified in data line <030>	501-746-5390
<039>	Contact Email Address - Email Address of person identified in data line <030>	jeff.l.heacox@windstream.com
<810>	Reporting Carrier	Windstream Sugar Land, Inc.
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<812>	Operating Company	Windstream Sugar Land, Inc.

<813>	<a1>	<a2>	<a3>
	Affiliates	SAC	Doing Business As Company or Brand Designation
	Windstream Communications, Inc.		
	Windstream Corporation		
	Windstream CTC Internet Services, Inc.		
	Windstream D & E Systems, Inc.	179009	
	Windstream Direct, LLC		
	Windstream EN-TEL, LLC		
	Windstream Holding of the Midwest, Inc.		
	Windstream Holdings, Inc.		
	Windstream Hosted Solutions, LLC		
	Windstream Intellectual Property Services, Inc.		
	Windstream Iowa-Comm, Inc.		
	Windstream IT-Comm, LLC		
	Windstream KDL, Inc.		
	Windstream KDL-VA, Inc.		
	Windstream Kerrville Long Distance, LLC		
	Windstream Knoxville Data, Inc.		
	Windstream Lakedale Link, Inc.		
	Windstream Leasing, LLC		
	Windstream Lexcom Entertainment, LLC		
	Windstream Lexcom Long Distance, LLC		
	Windstream Lexcom Wireless, LLC		
	Windstream Network Services of the Midwest, Inc.		
	Windstream NorthStar, LLC		

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<b>(800) Operating Companies</b> <b>Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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LIFELINE SERVICE

Definition

- A. Lifeline Service is a retail local service offering available to qualifying low-income residential customers and is provided pursuant to the FCC Order 12-11 released on February 6, 2012.

Discounts

- A. The following credits will apply for customers deemed eligible for Lifeline assistance:  
Monthly Credit

Federal Credit	\$9.25
State Credit to Residential Access Line	Varies by state

- B. The monthly discounted residential rate for qualified low-income customers may not be reduced below zero. Therefore, the credit amount defined in A. above shall not exceed the total of the subscriber line charge and the customer's normal residential local exchange service rate.

General

- A. The Company shall offer toll blocking to all qualifying low income customers at no charge at the time such customers subscribe to Lifeline service. If the customer voluntarily elects to receive toll blocking, the service shall become part of the customer's Lifeline service and all service deposits will be waived.
- B. Lifeline program rate reductions do not apply to long distance service or any other services (i.e., Custom Calling, CLASS, construction charges, etc.) which may or may not be tariffed. Customers may obtain such services, where available, at their discretion, although the Lifeline program rate reduction does not apply.
- C. Lifeline program service will not be available on a retro-active basis.

Eligibility Requirements

- A. The Lifeline program rate reduction shall apply to one (1) telephone line per residential household, at the subscriber's principal place of residence. Service is limited to only one Service per qualified customer or household; within this section, 'household' is defined as "any individual or group of individuals who are living together at the same address as one economic unit," with an 'economic unit' defined as, "all adult individuals contributing to and sharing in the income and expenses of a household."
- B. The service must be provided in the eligible customer's name.
- C. An applicant whose household income is at or below 135% of the Federal Poverty Guidelines, or who participate in one of the following programs:

Medicaid  
Food Stamps  
Supplemental Security Income  
Federal Public Housing Assistance  
Low Income Home Energy Assistance Program  
Temporary Assistance to Needy Families  
National School Lunch's Free Lunch Program

- D. The customer must sign, under penalty of perjury, a document certifying:

He/she is receiving benefits from one of the programs listed in C. above.  
Name of the program(s) from which they are receiving benefits.  
That he/she will notify the company if he/she no longer participates in the program(s) named in C. preceding.

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The applicant must also supply the name of the program(s) from which they are receiving benefits and provide documentation supporting participation in the program(s). That he/she will notify the company if he/she no longer participates in the program(s) named in C. preceding.

- E. Customers qualifying for Lifeline Service are offered the services or functionalities enumerated in 47 Code of Federal Regulations §54.101 (a) (1)-(8) (relating to Supported Service for Rural, Insular and High Cost Areas).
- F. The Company has certification processes in place which at the time of enrollment requires a documentation review that confirms the consumer's household eligibility. The Company will retain copies of the self-certification records of both the applicant and the Company. A Company officer will attest that these procedures are in place.
- G. The Company will annually verify the continued eligibility pursuant to the FCC Order 12-11 released on February 6, 2012.

#### Credits and Deposits

- A. The credit verification procedures available for all applicants who apply for service with the Company will also be used for applicants who apply for service under the Lifeline program.
- B. The deposit standards used for all applicants who apply for service with the Company will also be used for applicants who apply for service under the Lifeline Program with the exception that deposit requirements will be waived for Lifeline Service applicants who voluntarily elect to subscribe to toll blocking service.

#### Service Charges

- A. Service charges do not apply when eligible customers with existing residential service convert to Lifeline Service.
- B. A service order deposit is not applicable to customers who elect toll blocking when initiating Lifeline service.
- C. A service order charge does apply when:

At the time Lifeline Service billing is initiated, eligible residential local exchange access service customers also request additional optional calling features such as Custom Calling Features, CLASS features, etc.

Any subsequent moves or changes after the initial connection to Lifeline service are requested by the customer.

Service is established for new residential applicants (those without existing local exchange access service) eligible for Lifeline Service.

#### Payments and Disconnection of Service

- A. Lifeline service may not be disconnected for non-payment of toll charges. In addition, the Company will not deny re-establishment of local service to customers who are eligible for Lifeline Assistance and have previously been disconnected for nonpayment of toll charges.
- B. Partial payments that are received from Lifeline customers will first be applied to local service charges and then to any outstanding toll charges.

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Windstream Residential Service Rates by Service Area  
Rates shown with and without state and federal Lifeline discounts applied

Year	SAC	Without Lifeline Discounts		With Lifeline Discounts	
		Low	High	Low	High
2012	442147	\$15.41	\$23.16	\$2.66	\$10.41